

DATA DICTIONARY - **LATE FILERS**

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Overview

The late filer data set covers all SEC registrants who have filed an NT-* filing since 15 July 2002.

Data Field Definitions

The following field names are in bold for the name as it appears on our web site downloads; the field names as they appear in our data feeds are in italics.

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Registrant General Information

Company

name

Registrant's current name as filed with the SEC. Programmatically extracted and matched from the sec.gov's registrant header page.

CIK Code

company_fkey

Edgar's Central Index Key. Unique numeric identifier for each registrant. Programmatically extracted and matched from the sec.gov's registrant header page.

Ticker

ticker

Registrant's current stock ticker symbol as of the most recent business day. Programmatically extracted and matched from a third party data provider.

Market

market

Principal exchange the registrant's stock currently trades on. Programmatically extracted and matched from a third party data provider.

IRS Number

irs_number

IRS employer identification number (EIN) disclosed in the registrant's most current periodic report.

Parent CIK

parent_company_fkey

The CIK Code of the parent company, if the registrant is the subsidiary of another SEC registrant. Assigned by internal researchers.

Parent Name

parent_company_name

The name of the parent company, if the registrant is the subsidiary of another SEC registrant. Assigned by internal researchers.

Bus Street 1

bus_street_1

Current business address information. Programmatically extracted and matched from the sec.gov's registrant header page.

Bus Street 2

bus_street_2

Current business address information. Programmatically extracted and matched from the sec.gov's registrant header page.

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Registrant General Information *(continued)*

City

bus_city

Current business address information. Programmatically extracted and matched from the sec.gov's registrant header page.

State Code

bus_state

Current business address information. Programmatically extracted and matched from the sec.gov's registrant header page.

State Name

bus_state_name

Current business address information. Translation of state code field.

State Region

bus_state_region

Current business address information. Translation of state code field.

SIC Code

sic_code_fkey

4 digit SIC industry code. Programmatically extracted and matched from the sec.gov's registrant header page.

SIC Description

sic_code_description

Translation of SIC Code.

NAICS Code

naics_code_fkey

6 digit NAICS industry code. Assigned by internal researchers.

NAICS Description

naics_code_description

Translation of NAICS Code.

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NT Information

Filing

form_fkey

Indicates the SEC form from which this data row's information has been obtained.

Filing Date

file_date

Date on which the filing was submitted to the SEC..

Period Ended Date

period_ended_date_num

Period ended date for which the late filing corresponds.

Transition Report

ten_k_is_transition_report

Indicates the late filing is for a transition report.

Plan Name

plan_name

Name of benefit plan if filed for an 11-k.

Part 2.a

part_2a_box_checked

Indicates the registrant marked as true section Part II (a) "The reason described in reasonable detail in Part III of this form could not be eliminated without unreasonable effort or expense."

Part 2.b

part_2b_box_checked

Indicates the registrant marked as true section Part II (b) "The subject annual report, semi-annual report, transition report on Form 10-K, Form 20-F, Form 11-K, Form N-SAR or Form N-CSR, or portion thereof, will be filed on or before the fifteenth calendar day following the prescribed due date; or the subject quarterly report or transition report on Form 10-Q or subject distribution report on Form 10-D, or portion thereof, will be filed on or before the fifth calendar day following the prescribed due date"

Part 2.c

part_2c_box_checked

Indicates the registrant marked as true section Part II (c) "The accountant's statement or other exhibit required by Rule 12b-25(c) has been attached if applicable"

Part 4.3

part_4_box_3_checked

Indicates the registrant marked "Yes" section IV (3) "Is it anticipated that any significant change in results of operations from the corresponding period for the last fiscal year will be reflected by the earnings statements to be included in the subject report or portion thereof?"

Reasons 4.3

nt_reason_key_titles

Categorization of the reasons listed in the Narrative for the late filing.

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Registrant Financial Information

Financial Data

The financial data points are matched as of the most recent quarterly end data on record for each registrant. Please note that when the “Financials Date” field is null or zero, it signifies that we were unable to match corresponding financial information to the data row in question.

Stock Price

latestqu_share_price

Closing price of the registrants’s equity on the date specified (see field Stock Price Date). This value is used to calculate the year end market capitalization.

Stock Price Date

latestqu_price_date

Corresponding date for the Stock Price field.

Market Cap

latestqu_total_shares_outstanding_market_cap

Market capitalization as of Stock Price Date. Calculated by multiplying total shares outstanding as of Stock Price Date times Stock Price.

Financials Date

latestqu_date_ttm

Corresponding year ended date for the Revenue, Earnings, Book Value and Assets fields.

Revenue

latestqu_ncmst_revenue_ttm

Corresponds to the past year’s Total Revenue usually found as the first item on the Income Statement. Note that for banks and financial institutions revenue includes both interest and non-interest income. In addition banks and financial institutions do not include write downs or losses of any kind when calculating Total Revenue.

Earnings

latestqu_ncmst_net_income_ttm

Corresponds to the past year’s Net Income and is a calculated field (Revenues – Expenses) usually found as a line item on the Income Statement.

Book Value

latestqu_balsh_book_value

Corresponds to the year end Book Value and is a calculated field (Total Stockholders’ Equity – Goodwill – Intangible Assets).

Assets

latestqu_balsh_total_assets

Corresponds to the year end Total Assets and is usually found as an item on the Balance Sheet.

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Contact

For more information about online subscriptions, data feeds and custom reports please contact:

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Call or email us to schedule your personal online demonstration today!